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# Symbolic Dynamics in the Restricted Elliptic Isosceles 3 Body Problem

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joint work with

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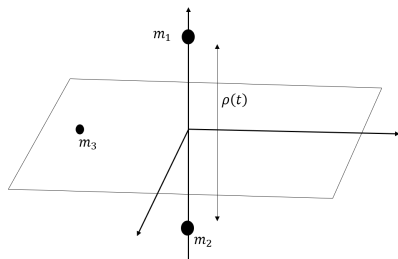
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# The Restricted Elliptic Isosceles 3-Body Problem (REI3BP)

- Primaries masses  $m_1 = m_2$ .
- They move along a degenerate ellipse (a segment).
- The massless body ( $m_3 = 0$ ) moves on the plane perpendicular to the primaries orbit.



**Two results** concerning the movement of the massless particle  $q(t)$ :

- Existence of chaotic dynamics.
- Classification of the asymptotic ( $t \rightarrow \pm\infty$ ) motions.

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## Theorem (Guardia, P., Seara, Vidal)

*There exists a section  $\Sigma$  transverse to the flow of the REI3BP such that the Poincaré map  $\psi$  associated to  $\Sigma$  presents chaotic dynamics when restricted to a certain invariant set  $S \subset \Sigma$ .*

Namely  $\psi$  possesses a *Smale horseshoe*: the dynamics of  $\psi : S \rightarrow S$  is topologically conjugated to the shift acting on the space of doubly infinite sequences

$$\begin{aligned}\sigma : \mathbb{N}^{\mathbb{Z}} &\rightarrow \mathbb{N}^{\mathbb{Z}} \\ \{a_n\}_{n \in \mathbb{Z}} &\mapsto \{a_{n-1}\}_{n \in \mathbb{Z}}.\end{aligned}$$

- Topological transitivity.
- Sensitive dependence on initial conditions.
- Dense set of periodic orbits.

# Final motions as $t \rightarrow \infty$

Classification of the possible final motions in the R3BP (Chazy 1922)

- $H^\pm$ (hyperbolic):

$$\|q(t)\| \rightarrow \infty \text{ and } \|\dot{q}(t)\| \rightarrow c > 0 \text{ as } t \rightarrow \pm\infty.$$

- $P^\pm$ (parabolic):

$$\|q(t)\| \rightarrow \infty \text{ and } \|\dot{q}(t)\| \rightarrow 0 \text{ as } t \rightarrow \pm\infty.$$

- $B^\pm$ (bounded):

$$\limsup_{t \rightarrow \pm\infty} \|q(t)\| < \infty.$$

- $OS^\pm$ (oscillatory):

$$\limsup_{t \rightarrow \pm\infty} \|q(t)\| = \infty, \quad \liminf_{t \rightarrow \pm\infty} \|q(t)\| < \infty.$$

## Theorem (Guardia, P., Seara, Vidal)

Denote by  $X^+$  (respectively  $Y^-$ ) either  $H^+, P^+, B^+$  or  $OS^+$  (respectively  $H^-, P^-, B^-$  or  $OS^-$ ). Then, for the REI3BP we have

$$X^+ \cap Y^- \neq \emptyset$$

for all possible combinations of  $X^+$  and  $Y^-$ .

- The existence of all types except oscillatory motions in the R3BP was already known by Chazy.
- The existence of oscillatory motions is a non trivial question for each model in Celestial Mechanics.

## Examples of oscillatory motions:

- Sitnikov provides the first one in what is now called the *Sitnikov example*.
- Moser uses the existence of a *Smale horseshoe* close to the **transversal intersection** of certain **invariant manifolds** to construct oscillatory orbits in the Sitnikov example.
- Llibre and Simó show their existence in the RPC3BP for  $\mu \ll 1$  using Moser's approach.
- Guardia, Martín and Seara extend the previous result to  $\mu \in (0, 1/2]$ .

## Measure of the set of oscillatory motions:

- Conjectured by Alekseev (or Kolmogorov) to have **Lebesgue measure zero**.
- Gorodetski and Kaloshin study their Hausdorff dimension in the RPC3BP and Sitnikov's example.



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# The Hamiltonian setting

In polar coordinates  $(r, y, \alpha, G)$  the system is Hamiltonian with respect to

$$H(r, y, t; G) = \frac{y^2}{2} + \frac{G^2}{2r^2} - \frac{1}{\sqrt{r^2 + \frac{\rho^2(t)}{4}}} \quad (r, y, t) \in \mathbb{R}_+ \times \mathbb{R} \times \mathbb{T}.$$

where  $\rho(t)$  is a  $2\pi$ -periodic function which gives the distance between the primaries.

- The system is invariant under rotation of the angle  $\alpha$  so  $G$  is a conserved quantity.
- The original  $2 + \frac{1}{2}$  d.o.f. system is reduced to a  $1 + \frac{1}{2}$  d.o.f. where  $G$  is a parameter.
- We autonomize the system

$$\hat{H}(r, y, t, E) = H(r, y, t) - E$$

where  $E \in \mathbb{R}$  is the conjugate momentum to  $t$ .

# Nearly Integrable setting

- We perform the scaling

$$r = G^2 \tilde{r} \quad y = G^{-1} \tilde{y} \quad E = G \tilde{E},$$

- New Hamiltonian

$$\tilde{H}(\tilde{r}, \tilde{y}, t, \tilde{E}; G) = \frac{\tilde{y}^2}{2} + \frac{1}{2\tilde{r}^2} - \frac{1}{\sqrt{\tilde{r}^2 - \frac{\rho^2(t)}{4G^4}}} - G^3 \tilde{E}, \quad \dot{t} = G^3.$$

**Perturbative setting:** For large  $G$  the REI3BP is a *small and rapidly oscillating perturbation* of the two body problem. We can write

$$\tilde{H}(\tilde{r}, \tilde{y}, t, \tilde{E}; G) = H_{2bp}(\tilde{r}, \tilde{y}) - G^3 \tilde{E} + U_{\text{pert}}(\tilde{r}, t; G)$$

where

$$U_{\text{pert}}(\tilde{r}, t; G) = \frac{1}{\tilde{r}} - \frac{1}{\sqrt{\tilde{r}^2 - \frac{\rho^2(t)}{4G^4}}} = \mathcal{O}(G^{-4})$$

# Manifolds of Infinity

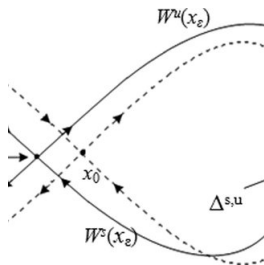
The system possesses a parabolic periodic orbit (infinity)

$$\Lambda = \left\{ \left( \tilde{r}, \tilde{y}, t, \tilde{E} \right) = (\infty, 0, t, 0) : t \in \mathbb{T} \right\}$$

with associated invariant manifolds  $\mathcal{W}^{s,u}$ .

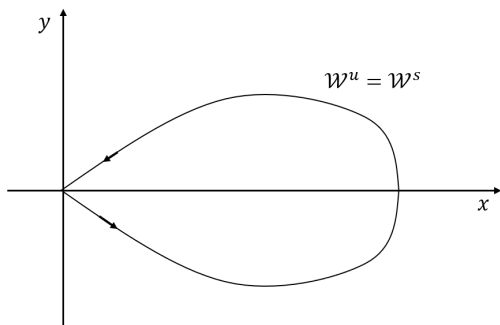
- In order to study neighbourhoods of  $\Lambda$  one usually introduces the McGehee transformation  $\tilde{r} = 2/x^2$ .
- In the McGehee coordinates

$$\Lambda = \left\{ \left( x, \tilde{y}, t, \tilde{E} \right) = (0, 0, t, 0) : t \in \mathbb{T} \right\}$$



# Manifolds of Infinity

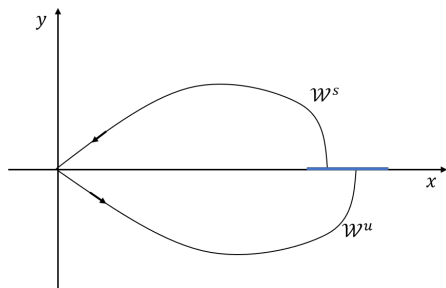
- For  $G \rightarrow \infty$  the system is integrable.
- Dimension counting argument:  $\mathcal{W}^{s,u}$  coincide along a 2 dimensional homoclinic manifold which is foliated by Keplerian parabolic orbits.



# Nearly Integrable setting and Manifolds of Infinity

For  $1 \ll G < \infty$ :

- We prove that this homoclinic manifold breaks down but  $\mathcal{W}^{s,u}$  intersect transversally along two homoclinic orbits to  $\Lambda$ .
- The invariant orbit  $\Lambda$  is **degenerate** (parabolic) so the classical stable manifold theorem does not hold.
- The splitting between  $\mathcal{W}^{s,u}$  is **exponentially small** so Melnikov theory does not apply.



# Existence of transversal intersections between $\mathcal{W}^s$ and $\mathcal{W}^u$

Fix the section  $\{y = 0\}$ . Then,  $\mathcal{W}^{u,s} \cap \{y = 0\}$  become invariant curves  $\gamma^{u,s}(t)$ .

## Proposition

*There exists  $G^* < \infty$  such that for  $G \geq G^* \gg 1$  the distance between  $\gamma^{u,s}$  is given by*

$$d(t) = J_1(1)\sqrt{2\pi}G^{-\frac{5}{2}}e^{-\frac{G^3}{3}} \left( \sin(t + \varphi) + \mathcal{O}(G^{-\frac{1}{2}}) \right)$$

*with  $J_1(1) \neq 0$  and a certain phase shift  $\varphi \in \mathbb{R}$  which is explicit.*

## Proposition

*There exists  $G^* < \infty$  such that for  $G \geq G^* \gg 1$  the invariant curves  $\gamma^{u,s}$  intersect transversally.*

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# From transversal intersections to oscillatory orbits

Define the section

$$\Sigma = \left\{ (x, \tilde{y}, t, \tilde{E}) \in \mathbb{R}_+ \times \mathbb{R} \times \mathbb{T} \times \mathbb{R} : \tilde{y} = 0, \dot{\tilde{y}} > 0, \tilde{H} = 0 \right\}$$

and the Poincaré map (we use coordinates  $(x, t)$  on  $\Sigma$ )

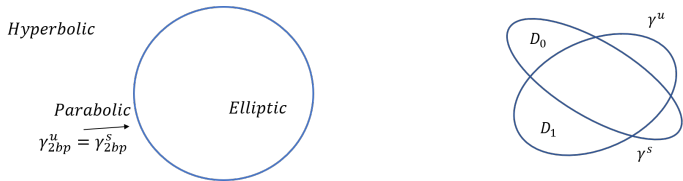
$$\begin{aligned} \psi : \Sigma &\rightarrow \Sigma \\ (x_0, t_0) &\mapsto (x_1, t_1). \end{aligned}$$

and set  $t_1 = \infty$  for points which do not intersect  $\Sigma$  anymore. Iterating  $\psi$ , to a given point  $(x_0, t_0)$  we associate the sequence  $\{a_n\}_{n \in \mathbb{Z}}$  with

$$a_n = \left\lfloor \frac{t_n - t_{n-1}}{2\pi} \right\rfloor \quad a_n \in \mathbb{N}$$

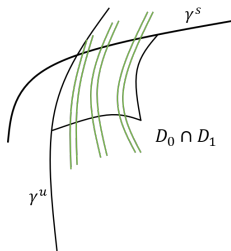
whenever is defined and  $a_n = \infty$  otherwise.

# From transversal intersections to oscillatory orbits



**Figure:** We write  $z = x(\cos t, \sin t)$ . On the left, the 2BP case. On the right the REI3BP.

- $D_0 = \text{Dom}(\psi) \subset \Sigma$ . We have that  $\gamma^s = \partial D_0$ .
- $D_1 = \text{Range}(\psi) \subset \Sigma$ . We have that  $\gamma^u = \partial D_1$ .
- The curves  $\gamma^{u,s}$  **intersect transversally**  $\rightarrow$  Smale horseshoe.



# From transversal intersections to oscillatory orbits

## Theorem

*There exists a set  $S \subset \psi^n(D_0 \cap D_1) \forall n \in \mathbb{Z}$  invariant under  $\psi$  and such that  $\psi|_S$  is topologically conjugated to the shift*

$$\sigma(\{a_n\}_{n \in \mathbb{Z}}) = \{a_{n-1}\}_{n \in \mathbb{Z}}$$

*acting on the space  $A$  of doubly infinite sequences  $a = (\dots, a_{-1}, a_0, a_1, \dots)$ .*

- $a(p) = (\dots, a_{-1}, a_0, a_1, \dots)$  with  $a_n \in \mathbb{N} \forall n \in \mathbb{Z}$ . Correspond to bounded ( $\sup_{n \in \mathbb{Z}} a_n < \infty$ ) and oscillatory ( $\sup_{n \in \mathbb{Z}} a_n = \infty$ ) orbits.
- Combinations with parabolic and hyperbolic motions are obtained working on the compactification  $\mathcal{A} = \overline{A}$ .

Thanks for your attention!