



UNIVERSITAT POLITÈCNICA DE CATALUNYA
BARCELONATECH
Facultat de Matemàtiques i Estadística

Exponentially small splitting of separatrices for L_3 in the Restricted Planar Circular 3-Body Problem

I. Baldomá, M. Giralt and M. Guardia

Universitat Politècnica de Catalunya (UPC)

CEDYA/CMA Gijón.
June 17, 2021.

This project has received funding from the European Research Council (ERC) under the European Union's Horizon 2020 research and innovation programme (Grant Agreement No 757802).

Table of contents

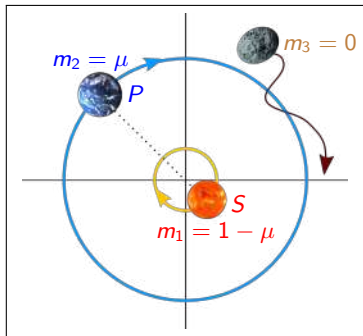
1 Statement of the problem and Main Result

2 Reformulation of the problem

3 Outline of the proof

4 Conclusions

Restricted Planar Circular 3-Body Problem



- **Restricted:** one body is massless, $m_3 = 0$.
- **Planar:** the bodies move on the same plane.
- **Circular:** the primaries (m_1 and m_2) perform a circular motion.

We study the motion of the **massless body**:

$$(q(t), p(t)) \in \mathbb{R}^4.$$

We normalize:

- $m_1 = 1 - \mu$ and $m_2 = \mu$ with $\mu \in (0, \frac{1}{2}]$.

Approach: Perturbative study for $0 < \mu \ll 1$.

- Unperturbed system ($\mu = 0$) corresponds to a 2-Body Problem.

Rotating framework and Lagrange points

Rotating framework: the primaries are fixed at $(\mu, 0)$ and $(\mu - 1, 0)$.

- The system is autonomous.
- h_0 is integrable (2-BP).
- μh_1 is a perturbation away from collision.

Hamiltonian: $h = h_0 + \mu h_1$

$$h_0(q, p) = \frac{\|p\|^2}{2} - q^t \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} p - \frac{1}{\|q\|},$$

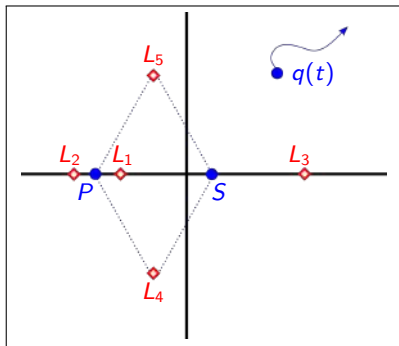
$$\mu h_1(q; \mu) = \frac{1}{\|q\|} - \frac{(1 - \mu)}{\|q - (\mu, 0)\|} - \frac{\mu}{\|q - (\mu - 1, 0)\|}.$$

Five critical points: Lagrange points.

For $\mu > 0$ small:

- L_1, L_2 and L_3 saddle-center.
- L_4 and L_5 center-center.

We start studying the dynamics surrounding L_3 and its invariant manifolds



Dynamics on a neighborhood of L_3 and its invariant manifolds

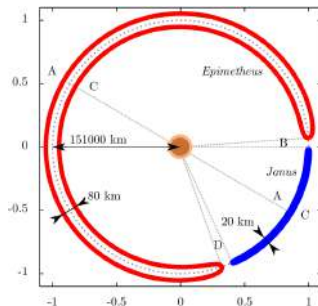
- **Horseshoe-shaped orbits:** quasi-periodic orbits encompassing L_3 , L_4 and L_5 .
- The interest of these orbits arises when modeling the motion of **co-orbital satellites** (Janus and Epimetheus, for example).



J. Cors, J. Palacián, P. Yanguas. 2019.



L. Niederman, A. Pousse and P. Robutel. 2020.

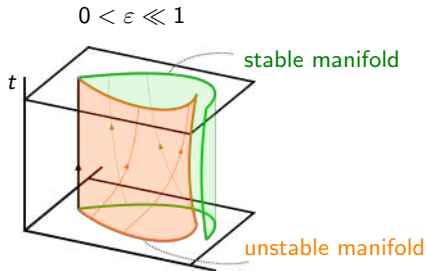
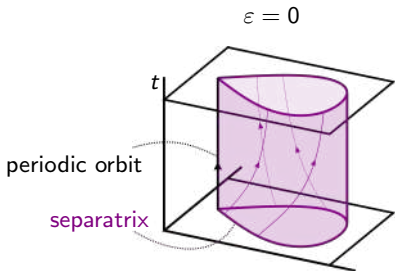


- The center stable and center unstable manifolds of L_3 act as boundaries of **regions of effective stability** around L_4 and L_5 .



C. Simó, P. Sousa-Silva and M. Terra. 2013.

Splitting of separatrices - Classical approach

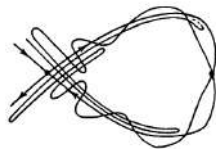


The Smale-Birkhoff homoclinic theorem

Transversal intersections between the unstable and stable manifolds \implies chaotic motions.

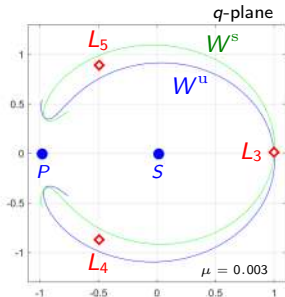
The Poincaré-Melnikov method

$$\text{dist} = \varepsilon M + \mathcal{O}(\varepsilon^2).$$



The invariant manifolds of L_3

- L_3 has **one dimensional stable and unstable** manifolds W^s and W^u .
- We focus on the upper branches. The lower branches are symmetric.
- The stable and unstable manifolds **either coincide or do not intersect**.
- The breakdown of W^u and W^s does not lead to chaos.



Goal:

For $0 < \mu \ll 1$, to **measure the distance** between the stable and unstable manifolds of L_3 .

- To prove the existence of chaotic dynamics around L_3 (Future work):
 - Study Lyapunov periodic orbits close to L_3 .
 - Look for transversal intersections of their 2-dimensional invariant manifolds.

Exponentially small splitting of separatrices

L_3 is a critical point of saddle-center type. For $0 < \mu \ll 1$ it has eigenvalues:

$$\pm \sqrt{\mu \frac{21}{8}} (1 + \mathcal{O}(\mu)), \quad \pm i(1 + \mathcal{O}(\mu)).$$

Eigenvalues at different time-scales: **singular perturbation** problem.

- Following a Poincaré-Melnikov method approach is not possible:

$$\text{dist} = \mu M + \mathcal{O}(\mu^2), \quad \text{with} \quad M = \mathcal{O}(e^{-\frac{1}{\sqrt{\mu}}}).$$

Exponentially small phenomenon:

Due to the **rapidly rotating dynamics**, the invariant manifolds are **exponentially close** to each other with respect to $\sqrt{\mu}$.

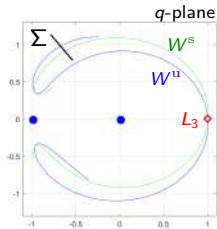
- **Beyond all orders phenomenon:** The difference cannot be detected by expanding the invariant manifolds into a series $\sqrt{\mu}$.

Main Result

Main Theorem:

Take the section Σ (see Figure) and let $(q^{u,s}, p^{u,s})$ be the first intersection of $W^{u,s}$ with Σ . For $0 < \mu \ll 1$,

$$\|q^u - q^s\| + \|p^u - p^s\| = \mu^{\frac{1}{3}} e^{-\frac{A}{\sqrt{\mu}}} \left(\Theta + \mathcal{O}\left(\frac{1}{|\log \mu|}\right) \right)$$



- The **constant A** is given by

$$A = \int_0^{\frac{\sqrt{2}-1}{2}} \frac{2}{1-x} \sqrt{\frac{x}{3(x+1)(1-4x-4x^2)}} dx \approx 0.177744.$$

Computed by J. Font (1984), C. Simó, P. Sousa-Silva and M. Terra (2013).

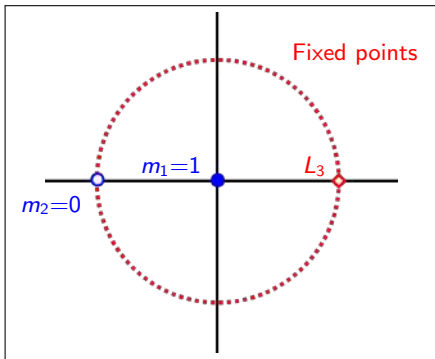
- The **constant Θ** corresponds to a **Stokes constant**. It does not have a closed formula, but it can be computed numerically by the **inner equation**.
- We have seen $\Theta \approx 1.63$. One could implement a **computer assisted proof** to obtain rigorous estimates.

Table of contents

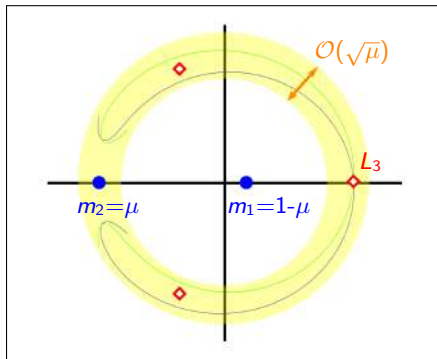
- 1 Statement of the problem and Main Result
- 2 Reformulation of the problem**
- 3 Outline of the proof
- 4 Conclusions

Unperturbed system

$\mu = 0$



$\mu > 0$



- The eigenvalues of L_3 are $\pm\sqrt{\frac{21}{8}\mu}(1 + \mathcal{O}(\mu))$ and $\pm i(1 + \mathcal{O}(\mu))$.

Goal:

Apply a singular change of coordinates to obtain a **new first order** of the system **with a saddle and separatrices**.

New “unperturbed system”

Hamiltonian in “good” coordinates and scaled time:

$$H = H_p + H_{\text{osc}} + \mathcal{O}(\mu^{\frac{1}{4}}),$$

$$H_p(\lambda, \Lambda) = -\frac{3}{2}\Lambda^2 - \cos \lambda - \frac{1}{\sqrt{2 + 2 \cos \lambda}}, \quad H_{\text{osc}}(x, y; \mu) = \frac{xy}{\mu^{\frac{1}{2}}},$$

with symplectic form $d\lambda \wedge d\Lambda + i dx \wedge dy$.

- The linearization of the vector field at L_3 is

$$\begin{pmatrix} 0 & -3 & 0 & 0 \\ -\frac{7}{8} & 0 & 0 & 0 \\ 0 & 0 & \frac{i}{\mu^{\frac{1}{2}}} & 0 \\ 0 & 0 & 0 & -\frac{i}{\mu^{\frac{1}{2}}} \end{pmatrix} + \mathcal{O}(\mu^{\frac{1}{4}}).$$

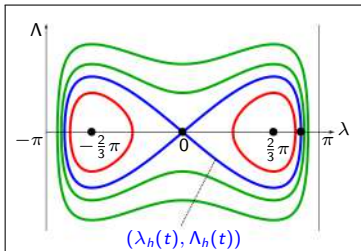
New “unperturbed system”:

A pendulum-like Hamiltonian H_p with two homoclinic connections plus a fast oscillator H_{osc} .

Problem:

Terms $\mathcal{O}(\mu^{\frac{1}{4}})$ are not explicit.

Hamiltonian $H_p(\lambda, \Lambda)$



- The Hamiltonian

$$H_p(\lambda, \Lambda) = -\frac{3}{2}\Lambda^2 + V(\lambda),$$

with

$$V(\lambda) = -\cos \lambda - \frac{1}{\sqrt{2 + 2 \cos \lambda}}$$

has two homoclinic connections or **separatrices**.

- Parametrization of the right separatrix:

$$(\lambda_h(t), \Lambda_h(t)), \quad t \in \mathbb{R}.$$

- There is no explicit expression for (λ_h, Λ_h) .

We expect the perturbed invariant manifolds to be close to $(\lambda_h, \Lambda_h, 0, 0)$.

Table of contents

- 1 Statement of the problem and Main Result
- 2 Reformulation of the problem
- 3 Outline of the proof
- 4 Conclusions

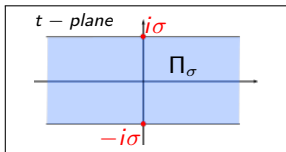
Heuristic idea of the proof

Recall the Hamiltonian:

$$H = -\frac{3}{2}\Lambda^2 - \cos \lambda - \frac{1}{\sqrt{2 + 2 \cos \lambda}} + \frac{xy}{\sqrt{\mu}} + \mathcal{O}(\mu^{\frac{1}{4}}).$$

Let $(\Delta x, \Delta y) = (x^u - x^s, y^u - y^s)$ the difference between the stable and the unstable manifolds. Then

$$\begin{aligned} \dot{\Delta x} &\approx \frac{i}{\sqrt{\mu}} \Delta x &\implies \Delta x(t) &\approx C_x e^{\frac{i}{\sqrt{\mu}} t}, \\ \dot{\Delta y} &\approx -\frac{i}{\sqrt{\mu}} \Delta y &\implies \Delta y(t) &\approx C_y e^{-\frac{i}{\sqrt{\mu}} t}. \end{aligned}$$



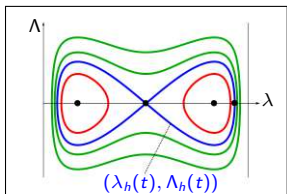
Proving that $(\Delta x, \Delta y)$ is analytic in Π_σ :

$$|C_x| \lesssim e^{-\frac{\sigma}{\sqrt{\mu}}} |\Delta x(-i\sigma)|,$$

$$|C_y| \lesssim e^{-\frac{\sigma}{\sqrt{\mu}}} |\Delta y(i\sigma)|.$$

$(\Delta x, \Delta y)$ bounded in a complex domain \implies exponentially small bound in \mathbb{R} .

Analytical continuation of the separatrix

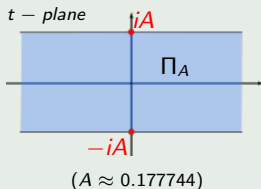


- Parametrization of the right separatrix:

$$(\lambda_h(t), \Lambda_h(t)), \quad t \in \mathbb{R},$$

- **Goal:** analytically extend (λ_h, Λ_h) to the complex plane.

Theorem A:



Let:

$$A = \int_0^{\frac{\sqrt{2}-1}{2}} \frac{2}{1-x} \sqrt{\frac{x}{3(x+1)(1-4x-4x^2)}} dx.$$

- (λ_h, Λ_h) analytically extends to the complex strip

$$\Pi_A = \{t \in \mathbb{C} : |\text{Im } t| < A\},$$

- (λ_h, Λ_h) has two singularities $t = \pm iA$ at $\partial\Pi_A$.

Strategy of the proof

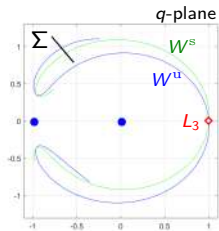
- We **analytical extend** parametrizations of the stable and unstable manifolds to Π_σ with $\sigma := A - \sqrt{\mu}$.
- To capture the first order of the difference we give its dominant terms close to $\pm i\sigma$.
- The **inner equation** is given by the first order of the Hamiltonian close to $\pm i\sigma$ and it is independent of μ .
- By using **matching techniques**, we prove that, close to $\pm i\sigma$, the solutions of the inner equation are “good” approximations” of the manifolds.
- We obtain an **asymptotic formula** for the difference by proving that the dominant term comes from the solutions of the inner equation.

Final comments

Main Theorem:

Take the section Σ (see Figure) and let $(q^{u,s}, p^{u,s})$ be the first intersection of $W^{u,s}$ with Σ . For $0 < \mu \ll 1$,

$$\|q^u - q^s\| + \|p^u - p^s\| = \mu^{\frac{1}{3}} e^{-\frac{A}{\sqrt{\mu}}} \left(\Theta + \mathcal{O}\left(\frac{1}{|\log \mu|}\right) \right)$$



- The Hamiltonian we deal with has **not an explicit formula**.
- The constant $A \approx 0.177744$ has an explicit formula.
- The constant Θ is given by the **inner equation**. Numerically we have seen $\Theta \approx 1.63$. One could implement a computer assisted proof to obtain rigorous estimates for Θ .

Thanks for your attention!